



**ward  
wizard**

Innovations & Mobility Limited  
An ISO 9001 : 2015 Company

Ref: WIML/BSE/ASCR/2022

Date: 10<sup>th</sup> May, 2022

To  
Corporate Relations Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai-400 001.

Scrip Code: 538970

Ref: - Wardwizard Innovations & Mobility Limited.

Sub: Submission of Annual Secretarial Compliance Report For Year ended on 31<sup>st</sup> March, 2022.

As per SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February 2019, we enclose the Annual Secretarial Compliance Report of Wardwizard Innovations & Mobility Limited, dated 09<sup>th</sup> May, 2022, for the year ended on March 31<sup>st</sup>, 2022, issued by Mrs. Aparna Tripathi, Practicing Company Secretary.

Kindly take the same on your record.

Thanking you,  
For Wardwizard Innovations & Mobility Limited

*Jaya Ashok Bhardwaj*

Jaya Ashok Bhardwaj  
Company Secretary and Compliance Officer



Encl : As above

CIN No.- L35100MH1982PLC264042

Registered Office Address - 401, Floor- 4, 23/25, Dhun Building, Janmabhoomi Marg, Horniman Circle, Fort, Mumbai - 400001 (Maharashtra), India  
Corporate Office - Survey 26/2, Opp, Pooja Farm, Sayajipura, Ajwa Road, Vadodara Gujarat - 390019, India

Email id - compliance@wardwizard.in | Website - www.wardwizard.in | Compliance No. - +91 9727755083 | HQ Number : 02668352000



**Annual Secretarial Compliance Report**

(Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015)

**Secretarial compliance report of Wardwizard Innovations & Mobility Limited for the year ended 31<sup>st</sup> March, 2022.**

**To,  
The Members,  
Wardwizard Innovations & Mobility Limited  
Corporate Office:  
Survey 26/2, Opposite Pooja Farm Sayajipura,  
Ajwa Road Vadodara 390019**

I, **APARNA TRIPATHI** have examined:

- (a) all the documents and records made available to us and explanation provided by **Wardwizard Innovations & Mobility Limited** (“the listed entity”),
- (b) the filings/submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) Such other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31<sup>st</sup> March, 2022** (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; **Applicable to the Company during the period of Audit to the extent of its Equity shares being listed at BSE Limited.**
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements)



## Aparna Tripathi ACS

PRACTICING COMPANY SECRETARY

Address :- 8B 508 PROVIDENT SUNWORTH, NEAR RAJ RAJESHWARI HOSPITAL,  
VENKATAPURA, NICE SH 17, HOBLI, KENGERI, BENGALURU – 560060.

Email :- csaparnatripathi@gmail.com. Cell :- 9819694118

Regulations, 2018; **Applicable to the Company as the Company has issued upto 59,62,373 partly paid-up equity shares of face value of Rs. 1 each (rights equity shares) of our company for cash at a price of Rs. 82 each including a share premium of Rs. 81 per rights equity share, and Company has allotted 56,70,303 equity shares pursuant to Rights Issue to the existing equity shareholders on the record date i.e. 22/01/2022 at issue price of Rs. 82/- per Equity shares including premium of Rs. 81/- per share and on application which constitutes 50% of the Issue Price (i.e Face Value of Rs. 0.50/- and Share Premium of Rs. 40.50/-) and the balance Rs. 41/- per Rights Equity Share which constitutes 50% of the Issue Price, will have to be paid, in one or more subsequent Call(s), as determined by the Board at its sole discretion.**

- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Not Applicable**
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable as No buy back of shares was made by the Company.**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **Not Applicable**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; - **Applicable As the Company has declared Final dividend for Financial year 2020-2021 during the AGM held on 20<sup>th</sup> August 2021 and the necessary compliances has been complied with on timely basis regarding the same.**
- (i) The provisions of Securities and Exchange Board of India (Delisting of Equity shares) Regulations, 2009 - **The Company's Shares were Voluntary De-listed from Calcutta Stock exchange with effect from 28th April, 2021.**
- (j) All other regulation and circulars/ guidelines issued thereunder and as applicable to the Company from time to time.

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:- **NIL**



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<i>Sr.No</i>	<i>Compliance Requirement (Regulations/ circulars / guidelines including specific clause)</i>	<i>Deviations</i>	<i>Observations/ Remarks of the Practicing Company Secretary</i>
<b>NIL</b>			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued hereunder: **NIL**

<i>Sr. No.</i>	<i>Action taken by</i>	<i>Details of violation</i>	<i>Details of action taken e.g. fines, warning letter, debarment, etc.</i>	<i>Observations/ remarks of the Practicing Company Secretary</i>
<b>NIL</b>				

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports: **Not Applicable . As there was no Observations in the Last Report**

<i>Sr.No</i>	<i>Observations of the Practicing Company Secretary in previous reports</i>	<i>Observations made in the secretarial Compliance report for the year ended 31<sup>st</sup> March, 2021</i>	<i>Actions taken by the listed entity, if any</i>	<i>Comments of the Practicing Company Secretary on the actions taken by the listed entity</i>
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# Aparna Tripathi ACS

PRACTICING COMPANY SECRETARY

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**Not Applicable**

I further report that there was no event of appointment/ re-appointment/ resignation of statutory auditor of the Company during the review period and the Company was in compliance with Para 6(A) and 6(B) of Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.

I further report that previous **Secretarial Auditor** of the company for Previous year 2020-2021 , **Mr. Santosh Kamleshchandra Pandey** (Membership number 8546, COP 5484) due pre occupation and other personal commitments have **resigned** and I , **Mrs. Aparna Santoshkumar Tripathi**(ACS 67594 , COP 25278) has been **appointed** as a Secretarial Auditor to conduct the Secretarial Audit For Financial Year 2021-2022 with effect from 10<sup>th</sup> March 2022.

I have conducted physical verification & examination of records during my visit to Vadodara as facilitated by the Company, for the purpose of issuing this Report.

Aparna  
Santoshkumar  
r Tripathi

Digitally signed by  
Aparna Santoshkumar  
Tripathi  
Date: 2022.05.09  
10:16:18 +05'30'

**APARNA SANTOSHKUMAR TRIPATHI**

(Practicing Company Secretary)

Membership No:67594

COP: 25278

Peer Reviewed Unit Certificate No : 1738/2022

UDIN: A067594D000287638

Place: BENGALURU

Date:09/05/2022